

AH #3

Based on Form PTO-1449 (3/90)				ATTY. DOCKET NO. 514429-3640		SERIAL NO. Continuation of US Patent App. Serial No. 09/162,101	
LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)				APPLICANT KISSEL ET AL.			
				FILING DATE Herewith		GROUP Not Yet Known	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
PR	AA	6,013,240	1/2000	Behr et al.	424	1.21	
	AB	5,830,730	11/1998	German et al.	435	455	
	AC	6,036,955	3/2000	Thorpe et al.	424	136.1	
	AD	5,977,307	11/1999	Friden et al.	530	350	
	AE	5,919,442	7/1999	Yin et al.	424	78.18	
	AF	4,690,985	9/1987	Tomalia et al.	525	419	
	AG	5,714,166	2/1998	Tomalia et al.	424	486	
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
	AH	0790312 A2	8/20/97	EPO			
	AI	19649645.4		Germany			
	AJ	WO 96/02655	2/1/96	WIPO			
OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
PR	AK		Abdallah et al., Hum. Gene Ther., Vol. 7, 1996, pp. 1947-1954.				
	AL		Behr, Bioconjugate Chem., Vol. 5, 1994, pp. 382-389.				
	AM		Behr, Chimia, Vol. 51, 1997, pp. 34-36.				
	AN		Boussif et al., Gene Therapy, Vol. 3, 1996, pp. 1074-080.				
	AO		Boussif et al., Proc. Natl. Acad. Sci., Vol. 92, 1995, pp. 7297-7301.				
	AP		Cherng et al., Pharm. Res., Vol. 13, No. 7, 1996, pp. 1038-1042.				
	AQ		Choksakulnimitr et al., J. Control. Rel., Vol. 34, 1995, pp. 233-241.				
	AR		Cotten et al., Meth. In Enzymol., Vol. 217, 1993, pp. 618-644.				
	AS		Crystal, Science, Vol. 270, 1995, 404-410.				
	AT		Dick et al., J. Macromol. Sci., Vol. A4, 1970, pp. 1301-1314.				
	AU		Gao et al., Gene Therapy, Vol. 2, 1995, pp. 710-722.				
	AV		Godbey et al., J. Biomedical Materials Research, 45, 3, pp. 268-275				
	AW		Gopal, Mol. Cell. Biol., Vol. 5, 1985, pp. 1188-1193.				
	AX		Haensler et al., Bioconjugate Chem., Vol. 4, 1993, pp. 372-379.				
	AY		Ledley, Human Gene Therapy, Vol. 6, 1129-1144				
	AZ		Midoux et al., Nucleic Acids Research, Vol. 21, No. 4, 1993, pp. 871-878.				
	BA		Miller, Nature, Vol. 357, 1992, pp. 455-460.				
	BB		Mischeck et al., Cell. Tiss. Res., Vol. 256, 1989, pp. 221-226.				
	BC		Mulligan, Science, Vol. 260, 1993, pp. 926-932.				
	BD		Remy et al., J. Lip. Res., Vol. 6, No. 3, 1996, 535-544.				
	BE		Tomlinson et al., J. of Contr. Rel., Vol. 39, 1996, pp. 357-372.				

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LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)		APPLICANT KISSEL ET AL.			
		FILING DATE Herewith		GROUP Not Yet Known	
B	BF		Trubetskoy et al., Bioconjugate Chem., Vol. 3, 1992, pp. 323-327.		
J	BG		Verma et al. (Nature, Vol. 389, 18, pp. 239-242), 1997		
J	BH		Vollmert, Grudiss der Makromdekularen Chemie, Springer Verlag Berlin, 1962, pp. 216-225.		
	BI		Wagner et al., Proc. Natl. Acad. Sci., Vol. 87, 1990, pp. 3410-3414.		
	BJ		Wenker, JACS, Vol. 57, 1935, pp. 2328-2329.		
R	BK		Wolfert et al., Hum. Gene Ther., Vol. 7, 1996, pp. 2123-2133.		
J	BL		Wu et al., Biotherapy, Vol. 3, 1991, pp. 87-95.		
J	BM		Wu et al., J. Biol. Chem., Vol. 262, No. 10, 1987, pp. 4429-4432.		
J	BN		Zenke et al., Proc. Natl. Acad. Sci., Vol. 87, 1990, pp. 3655-3659.		
EXAMINER Dm			DATE CONSIDERED 9/2/02		
<p>* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>					

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AH #6

Based on Form PTO-1449 (3/90)				ATTY. DOCKET NO. 514413-3840		SERIAL NO. 09/667,932	
LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)				APPLICANT Kissel et al			
				FILING DATE September 22, 2000		GROUP 1633	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
02	AA	US 4,467,115	8/21/1984	Zhuk et al			
	AB						
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
02	AC	WO 96/02655	2/1/1996	PCT			
	AD						
	AE						
	AE						
	AG						
	AH						
	AI						
OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
02	AJ		Zanta et al, "In Vitro Gene Delivery to Hepatocytes with Galactosylated Polyethylenimine", Bioconjugate Chem. 1997, Vol. 8, No. 6, pp. 839-844, also referred to as XP002920308;				
	AK		Dr. Otto-Albrecht Neumüller, 1987, ISBN: 3-440-04515-3, also referred to as XP 002171107;				
	AL		"Encyclopedia of Polymer Science and Engineering", 1985, John Wiley & Sons, New York, ISBN: 0-471-89540-7, also referred to as XP002171108.				
	AM						
	AN						
EXAMINER Dake				DATE CONSIDERED 4/2/02			

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U.S. PATENT DOCUMENTS							
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	AA						
	AB						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO
<i>or</i>	AC	DE 665 791	10/4/38	Germany			
	AD						
	AC						
	AF						
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OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
<i>or</i>	AJ	"Polymérisation des imines", 1970, HERMANN, PARIS, pp. 229-230, also referred to as XP 002178694;					
	AK						
	AL						
	AM						
	AN						
EXAMINER <i>Dah</i>				DATE CONSIDERED <i>9/2/02</i>			